

Quarter	Type	Title	Notes
b/fwd	Contract	Contracts below the thresholds £75k	Review of the contract completion process, for contracts below £75k. Audit will focus on contracts in place in 2016-17.
b/fwd	Contract	Direct Payments	Focus on contract monitoring for support providers e.g. Enham, Mosaic.
b/fwd	System	Fostering Placements Payments	The audit will examine the processes in place to ensure that payments made to foster carers through Controcc and Agresso are accurate, complete and timely. It will not examine in detail the processes for commissioning foster carers, but will assess whether payments are made only to eligible foster carers for the correct periods and at the correct rates, based on information within Liquidlogic. The review will focus only on transactions from the current financial year.
b/fwd	System	Public Health – compliance with NICE Guidance - Managing Obesity	Compliance with NICE (National Institute for Health and Care Excellence) guidelines
b/fwd	Schools	Schools - follow-up audit	Programme of follow-ups of recommendations made in earlier IA school reports.
b/fwd	Contract	Follow-up of Property Services contracts	Follow up of recommendations made in the previous IA report of Property Services Contracts where little or no assurance was given.
b/fwd	Systems	Admissions Process	The Admissions Process has been in place for over a year; management see this as a good time for IA to provide assurance that these procedures were operating soundly.
b/fwd	Schools	Pupil Referral Units	Review of financial management arrangements for the Pupil Referral Units : a follow-up of recommendations made in earlier report which gave little or no assurance. This audit will cover the Primary Pupil Referral Unit (Secondary completed).
b/fwd	IT audit	Web services	Review of the various council websites for security vulnerabilities.
b/fwd	IT audit	New MFDs (print/copy/scan)	These devices are connected to the network and linked to Progeny (building entry system). An IA review of Progeny found security issues. It would be timely to ensure <u>there are no exploitable vulnerabilities in these devices.</u>
b/fwd	IT audit	ICT Asset Management	The audit will cover controls to record and account for ICT Assets and their location.
b/fwd	IT audit	Shared drives and email	Impact on record-keeping from use of shared drives and email. This was identified as a risk in Information Services operational risk register.
b/fwd	IT audit	Penetration testing	As and when requested. In 2016/17 so far we have planned or completed five pentests
Q4	Schools	School financial audit	<i>Keeping Your Balance</i> financial audits will be carried out as part of a programme of schools visits, that started in Q3.
Q4	Grant Cert	Troubled Families - 2nd audit	Verification of results from claims with reference to Financial Framework for the programme
Q4	General	Audit Lincolnshire General audit	Scope of these audits is agreed directly with the client. Provisional list includes HR & NNDR.
Q4	IT audit	Audit Lincolnshire IT audit	Provisional audits requested are: ICT Asset Management and ICT Service Provision
Q4	IT audit	Rotherham MBC	ICT Data Security. Ensure that the Council has effective arrangements in place to protect its own data and its service users' data.